

(Only for new nonprovisional applications under 37 CFR 1.53(b))

THA-P-00-001

First Named Inventor or Application Identifier

**VIVEK THAPPA, M.D.**

Express Mail Label No. **EL 613928360 US**

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

*See MPEP chapter 600 concerning utility patent application contents.*

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (*if applicable*)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(*Should be specifically itemized*)
11. ☒ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
12. ☐ Certified Copy of Priority Document(s)
13. ☐ Other:

1. ☒ Specification Total Pages 17
2. ☒ Drawing(s) (35USC 113) Total Pages 05
3. ☒ Declaration and Power of Attorney Total Pages 02
- a. ☒ Newly executed ( OR FAXED COPY)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)  
***[Note Box 4 Below]***
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

**14: If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    ☐ of prior application No: \_\_\_\_\_

| CLAIMS AS FILED |                                    |   |                        |                     |  |
|-----------------|------------------------------------|---|------------------------|---------------------|--|
|                 | (1)<br>NUMBER OF CLAIMS<br>ALLOWED | (2)<br>NUMBER FILED   | (3)<br>NUMBER<br>EXTRA | (4)<br>RATE         | (5)<br>BASIC FEE<br>(Small Entity)<br>\$355.00 |
|                 | TOTAL CLAIMS 20                    | 20  | 0                      | 9.00                | 0  |
|                 | INDEPENDENT CLAIMS 04              | 03  | 01                     | 40.00               | 40.00  |
|                 |                                    | ANY MULTIPLE DEPENDENT CLAIMS?<br><input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |                        | 260.00              | 0  |
|                 |                                    |   |                        | TOTAL FILING FEE -> | \$395.00                                       |

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to **DEPOSIT ACCOUNT NO. 50-0595**. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 395.00 to cover the filing fee is enclosed.

## 15. CORRESPONDENCE ADDRESS

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**SIGNATURE**

Brian M. Mattson, (Reg. No. 35,018)

DATE: 10-27-00

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**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**EXPRESS MAIL LABEL NUMBER: EL 613928360 US**

**DATE OF DEPOSIT: October 24, 2000**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Asst. Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

**CONTENTS:**

Our Case No.: THA-P-00-001

Patent application for: Vivek Thappa, M.D.

Entitled: "CLOSED-END INFUSION CATHETER WITH AN INTRODUCER AND A METHOD FOR USING THE SAME"

**ENCLOSED:**

1. Patent Application Transmittal (*in duplicate*)
2. Specification (17 pages)
3. 5 Sheets of Drawings
4. Executed Declaration
5. Executed Small Entity Declaration
6. Check for \$395.00 Filing Fee (20 claims; 04 independent)
9. Express Mail Certificate
10. Postcard

  
\_\_\_\_\_  
Signature of person mailing documents and fees